
APPLICATIONS PROCESSING HANDBOOK

TABLE OF CONTENTS

INTRODUCTION

ADMINISTRATIVE

- 010 Application Filing Requirements
- 020 Publication Forms
- 030 Bank Merger Act Transmittal Forms
- 040 Delegations
- 050 Appeals Process
- 060 Application Tracking System

100 BRANCHING ACTIVITIES

200 COMBINATIONS

- 210 Conversion to a National or State Bank
- 220 Combination with a National or State Bank
- 230 Mergers with FDIC-Insured Depository Institutions and Non-FDIC Insured Entities in which a Savings Institution Survives
- 240 Purchase or Sale of Assets and/or Assumption or Transfer of Liabilities by a Savings Institution from FDIC-Insured and Non-FDIC Insured Depository Institutions

300 CONTROL

- 310 Changes of Control
- 320 Rebuttals

400 CORPORATE ORGANIZATION

- 410 Charter and Bylaw Amendments
- 420 Conversion of OTS Regulated Savings Institution to a Federal Charter
- 430 Conversion of Bank or Credit Union to a Federal Charter
- 440 Permission to Organize a Federal Savings Institution
- 450 Conversion of Savings Institution from the Mutual Form of Organization to the Stock Form of Organization
- 460 Voluntary Dissolutions

500 HOLDING COMPANIES

- 510 Holding Company Acquisitions
- 520 Mutual Holding Companies
- 530 Savings and Loan Holding Company Activities

APPLICATIONS PROCESSING HANDBOOK

TABLE OF CONTENTS

600 OPERATIONAL/FINANCIAL

- 605 Subordinate Organizations
- 610 Subordinated Debt and Mandatorily Redeemable Preferred Stock
- 615 Outside Borrowings
- 620 Trust Powers
- 625 Business Plan Guidelines
- 630 Business Plan Modifications
- 635 Capital Distributions
- 640 Modifications of Conditions of Approval
- 645 Expansion of Business Activities

700 SUPERVISORY

- 710 FDIC Resolution Procedures
- 720 Officer/Director Approval

800 WAIVERS

- 810 Management Interlock Act
- 820 Lending Exceptions – Loans to One Borrower for the Development of Domestic Residential Housing Units
- 830 Lending Exceptions – Nonresidential Loans
- 840 Regulatory Waivers
- 850 Increase in Lending Limitation to One Borrower for 1-4 Family Residential Real Estate and Small Business Loans